

#5
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kossovsky, Nir; Brandegge, Bear; Arrow, Alexander K.

Assignee: The Patent and License Exchange, Inc.

Title: Online Patent And License Exchange

Serial No.: Unknown

Filing Date: Herewith

Examiner: Unknown

Group Art Unit: Unknown

Docket No.: M-7529-5C US

San Jose, California
April 20, 2001

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents marked with an asterisk are not enclosed as the documents were either previously cited or submitted in one or more of the following related applications: U.S. Patent Application Serial No. 09/665,187, filed September 16, 2000, which is a continuation-in-part of U.S. Patent Application Serial No. 09/580,005, filed May 26, 2000, which is a continuation-in-part of U.S. Patent Application Serial No. 09/371,614, filed August 10, 1999, which claims priority from U.S. Provisional Patent Application Serial No. 60/124,847, filed on March 17, 1999, all of which are relied on for priority under 35 U.S.C. § 120.

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

EL699359963US

Respectfully submitted,



Roberta P. Saxon
Agent for Applicants
Reg. No. 43,087

U.S. Department of Commerce, Patent and Trademark Office	Atty Docket No.	Serial No.
	M-7529-5C US	Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicants	
(Use several sheets if necessary)	Kossovsky, Nir	
	Filing Date	Group
	Herewith	Unknown

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	*AA	5,664,115	09/02/97	Fraser	705	37	
	*AB	5,835,896	11/10/98	Fisher et al.	705	37	

Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AC							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	*AD	Paunov, "Are Patents Next for the Online Auction Block", The Recorder, 1 page, Thursday May 20, 1999.
	*AE	Paunov, "Cyberlaw: A New Arena to Market Patents", Law News Network's Tech Law Center, 3 pages, May 7, 1999.
	*AF	Kossovsky, et al., "Managing the financial uncertainties of technology transfer", Chemtech, Volume 27, Number 11, pages 44-45, November 1997.
	*AG	Gaw, "Patented Matchmaking", Los Angeles Times, 1 page, January 25, 1999.
	*AH	BusinessTrends, "R&D at your fingertips", Electronic Business, pages 36-38, May 1999.
	*AI	Kossovsky, et al., "Creating an efficient technology market", Chemtech, pages 54-56, December 1998.
	*AJ	Pricewaterhouse Coopers - The Intellectual Property Exchange, http://iptrader.com/index.html , 38 pages, April 19, 1999.
	*AK	About TechExchange Online @ EDC, wysiwyg://26/http://www.teonline.com/about.html , 31 pages, April 19, 1999.
	*AL	Patent Portal: internet patent Resources, http://www.law.vill.edu/rgruner/patport.htm , 2 pages, April 19, 1999.
	*AM	IBM Intellectual Property Network, http://www.patents.ibm.com/ibm.html , 2 pages, April 19, 1999.

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-7529-5C US		Unknown	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Kossovsky, Nir			
					Filing Date		Group	
					Herewith		Unknown	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	*AA	5,999,907	12/07/99	Donner	705	1		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AB							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	*AC	Technology Access - Products & Services, http://www.techaccess.com/pages/prodserv.html , 3 pages, April 19, 1999.						
	*AD	Pax Technology Transfer Information Page, http://www.pax.co.uk/first.html , 18 pages, April 19, 1999.						
	*AE	Patent Auction.com - Comprehensive sourcing or licensing intellectual property, http://www.patetnauction.com , 12 pages, June 12, 1999.						
	*AF	register.com - Domain Name Registration Services, http://www.register.com/whois-results.cgi?domain=antapex.com , 3 pages, June 14, 1999.						
	*AG	Yahoo - Onsale Launches Intellectual Property Licensing Program, http://biz.yahoo.com/bw/990412/ca_onsale_1.html , 2 pages, April 16, 1999.						
	*AH	MarkMarket - Buy - Sell - Trade Trademarks, http://www.ipb.dk/marmarket.html , 8 pages, May 18, 1999.						
	*AI	BTG - Fifty years of shaping the future, http://www.btglc.com/lower.html , 3 pages, May 14, 1999.						
	*AJ	Intellectual Property Technology Exchange (IPTEX), http://www.iptex.com/ , 21 pages, April 19, 1999.						
	*AK	http://www.recap.com/mainweb.nsf/f... , 3 pages, May 21, 1999.						
	*AL	Ludowitz, "PLX Announces Plans to Revolutionize \$3.5 Billion Patent Industry Through Global, internet-Based Patent Auction Market", 3 pages, January 22, 1999.						
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No.		Serial No.	
						M-7529-5C US		Unknown	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicants			
(Use several sheets if necessary)						Kossovsky, Nir			
						Filing Date		Group	
						Herewith		Unknown	
U.S. Patent Documents									
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA								
Foreign Patent Documents									
							Translation		
		Document	Date	Country	Class	Subclass	Yes	No	
	AB								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
	*AC	Ludowitz, "The Patent and License Exchange (pIX sm) Ignites Business Debate Among Academics And Industrialists", 3 pages, January 25, 1999.							
	*AD	Cunningham, "Finding the key to valuation", 2 pages, World IP Contacts Handbook 1999, 1999.							
	*AE	Milligan, "Policy Covers Patent Risks", Business Insurance, 1 page, May 3, 1999.							
	*AF	IndustryWeek: Newswires, http://www.industryweek.com/aspscripts/newswire.asp , 1 page, March 17, 1999.							
	*AG	"Digital Commerce", The New York Times, 1 page, February 2, 1999.							
	*AH	Dow Jones Interactive, Financial Post, 1 page, February 2, 1999.							
	*AI	Child, J., "An Internet "Exchange" Aims To Revolutionize Trading In Intellectual Property Patents"							
	*AJ	Davis, S. et al., "Real Markets Will Mimic Financial Markets" (pages 96-104) and "The Blurring of Hard Assets into Intangibles" (pages 197-203) Blur the speed of change in the connected economy, <i>Ernst & Young LLP</i> (1998).							
	*AK	Gordon, L., "To Market, to Market Internet brokers create a patent bazaar" <i>House Counsel</i> (1999).							
	AL	Pakes, Ariel, "Patents As Options: Some Estimates Of The Value Of Holding European Patent Stocks" <i>Econometrics</i> 54: 755-784 (July 1986).							
Examiner			Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.									